

James L. Holly, M.D.

Changing Patient Behavior with Risk Assessment

By James L. Holly, MD

Your Life Your Health

The Examiner

May 28, 2010

The following is a partial list of patient factors which contribute to less than optimal healthcare in the United States today:

1. **Lifestyle** – Inactivity, poor diet, overeating, smoking, excessive alcohol consumption. Much more than genetics, lifestyle often adversely affects patient health.
2. **Access to healthcare** – There are groups of people who have limited access to healthcare. Others cannot afford the healthcare which has been recommended. Either cause decreases or even eliminates the potential health benefit of care.
3. **Compliance** – Patient non-compliance with prescribed treatment plans is a major problem. When patient fail to take their medications, follow-up with care as recommended or follow-through with treatment recommendations, they limit the benefit they could have received.
4. **Refusal** – There is a difference between compliance and refusal to accept a treatment recommendation. The second most common reason for internet searches is question about healthcare. The problem is that while patients are getting more information than ever before, often that information is invalid.
5. **Complacency** – The failure of patients to seek care or to follow known beneficial care.

Very often patients don't feel badly and as a result are unwilling to engage in uncomfortable, complicated and sometimes expensive care which can interfere with their lifestyles. The problem is that most of the illnesses which can be treated successfully but which can kill you if they aren't, don't have significant symptoms until irreversible damage has been done to your body.

In the *Your Life Your Health* article for April 11, 2002, entitled, "Silent Killers: Hypertension, Cholesterol Diabetes," SETMA's Managing Partner, Dr. Muhammad Aziz stated: "High blood pressure silently and slowly puts pressure on your heart, brain and kidneys, and damages them over time. You may not feel the adverse effects initially, as the process is slow. However, one day you may suddenly get chest pain and the doctor tells you that you are having a heart attack, or you have had a stroke. Then it is too late."

Boiled Frog Analogy

The boiled-frog analogy has often been used to illustrate the reluctance of people to change their behavior because they are not uncomfortable. The analogy describes a frog which is placed in a pot of cool water. The frog is comfortable and senses no danger. When the pot is placed on a

stove and heat is applied, the change is so gradual that the frog is not aware of the danger until it is too late. The frog boils to death.

This is not unlike a patient who complains, “But, I was fine yesterday, why am I unable to move my left arm today?” The reason is that the patient did not take their blood pressure medication and did not control their weight and did not stop smoking until it was too late. The cause of the stroke started many years before the actual event. By the way, it is NEVER “too late” to being making changes. Recent research has shown that smokers who are diagnosed with lung cancer live longer and are more comfortable if they stop smoking than are the patients who are diagnosed with lung cancer and continue to smoke. It is NEVER too late to quit smoking.

In an attempt to get providers’ to change their behavior in treating patients, SETMA;

- Tracks provider performance in the care of individual patients and makes it possible for providers to know their on every patient at the time of the patient’s clinic visit.
- Audits the provider performance over the entire population of patients the provider treats.
- Analyses statistically the provider performance and compares every provider’s performance with every other providers’.
- Publicly reports the providers’ performance on SETMA’s website.

This process increases the discomfort of providers when patients are not receiving the best care and motivates change.

Creating Discomfort in Patients

The problem remains as to how to change patient behavior. How do we make the patient uncomfortable enough that they will make and sustain changes in their lifestyles and compliance with a plan of care? One of the ways is with “risk stratification.”

The calculation of a patient’s risk of developing significant health problems and the showing of that calculation to the patient is one way SETMA attempts to encourage patients to make and sustain change. SETMA uses many tools to evaluate patient health risk. All are described on SETMA’s website at www.jameslhollymd.com under the heading “Electronic Patient Management Tools”. Two categories of risk tools are described there, under the following titles::

Preventive Heath Tools

- LESS Initiative
- Smoking Cessation
- Exercise
- Diabetic Exercise
- CHF Exercise
- Preventing Diabetes
- Preventing Hypertension
- Weight Management

Framingham Cardiovascular Risk

- Cardiometabolic Risk Assessment
- LDL Levels
- Fredrickson Classification of Dyslipidemia
- Lipid Disease Management
- Framingham Heart Study Risk Calculators

Anyone who takes the times to review these materials will learn how to improve their health and how to decrease their disease risk. One of the most valuable risk stratification tools is based on the Framingham Heart Study.

History of Framingham

In 1948, the Framingham Heart Study embarked on an ambitious project in health research to identify the common factors that contribute to cardiovascular disease by following its development over a long period of time in a large group of participants

The Framingham Heart Disease Epidemiology Study was launched to help physicians better understand heart disease. To do this, researchers examined the lives and habits of ordinary people living in a suburban Boston town called Framingham to determine whether there was any connection between the way they lived and the health of their hearts. They investigated the risk factors that people were born with (*genetic factors*) and those they were exposed to (*environmental factors*), to see which contributed to the development of heart disease.

The researchers recruited 5,209 men and women between the ages of 30 and 62 from the town of Framingham, Massachusetts, and began the first round of extensive physical examinations and lifestyle interviews that they would later analyze for common patterns related to CVD development. Since 1948, the subjects have continued to return to the study every two years for a detailed medical history, physical examination, and laboratory tests, and in 1971, the Study enrolled a second generation - 5,124 of the original participants' adult children and their spouses - to participate in similar examinations.

In 1994, the need to establish a new study reflecting a more diverse community of Framingham was recognized, and the first Omni cohort of the Framingham Heart Study was enrolled. In April 2002 the Study entered a new phase, the enrollment of a third generation of participants, the grandchildren of the Original Cohort. In 2003, a second group of Omni participants was enrolled.

SETMA Display of the Framingham Heart Risk Calculators

In order to utilize these tools for the benefit of our patients, SETMA has deployed all 12 of the Framingham Heart Risk Calculators in such a way that with a single click of a single button all twelve calculations are completed in less than a second.

The SETMA Framingham Heart Risk Calculators are displayed in multiple locations in our EMR including the following disease-management tool sets::

- Diabetes,
- Lipids,
- Hypertension
- CHF
- Acute Coronary Syndrome
- Chronic Stable Angina

The following is an illustration taken from the Lipids Disease Management Tool. Outlined in red is the button entitled “Framingham Risk Scores” which does the following:

- Launches the calculation of all 12 scores
- Displays them simultaneously on all of the above named disease management tools
- Places the results of the heart risk calculations on the Plan of Care and Treatment Plan which will be given to the patient

Lipids Management

SETMA's Lipid Philosophy

Patient: Dottie **Test:**
Age: 108 **Sex:** F

Compliance

Last Lipid: 12/02/2009
 Last CRP: / /
 Last Liver Panel: 12/02/2009
 Height: 64.00 inches
 Weight: 123.00 pounds
 BMI: 21.11
 Body Fat: 45 %
 BMR: / / cal/day
 Protein Req: 67 grams/day
 Waist: 42.00 inches
 Blood Pressure: 155 / 95 mmHg
 Diabetes Mellitus: + ☐ - ☐
 Metabolic Syndrome: + ☐ - ☐

Fredrickson Classification

Assess from Labs
☐ I ☐ IIa ☐ IIb
☐ III ☐ IV ☐ V
 Help Info
 Last Updated/Reviewed: 04/16/2010

Most Recent Labs Goals

Check for New Labs

Cholesterol	212	12/02/2009
HDL	36	12/02/2009
Cholesterol/HDL	5.89	
Triglycerides	312	12/02/2009
Trig/HDL	8.67	
Chylomicrons	+ / - /	
CPK	/ /	
LDL	/ /	
VLDL	/ /	
LDL-Remnant	/ /	
Homocystiene	/ /	
hsCRP	7.0	12/02/2009
Apo A1	/ /	
Apo E2	/ /	
Apo E4	/ /	

Info Labs Over Time

VAP Test Results / /

Apo B
 HDL 2
 HDL 3
 HDL Chol VAP
 IDL
 IDL VLDL 2

Risk Factors

☐ Coronary Heart Disease
☒ MI (Heart Attack)
☐ Angina
☐ CABG
 Non-Coronary Atherosclerosis
☐ Peripheral Artery Disease
☐ Cerebrovascular Disease
☐ Aortic Aneurysm

Framingham Risk Scores

10-Year General Risk: <30 %
 10-Year Stroke Risk: 57 %
 Global Cardio Score: 10.6 pts

☐ Male Age > 45
☒ Female Age > 55
☒ Hypertension > 140/90
☒ Blood Pressure Medications
☒ Smoking
 HDL
☐ Male < 40
☒ Female < 50
 FHx Premature HD
☐ Male First Degree < 55
☐ Female First Degree < 65

Assessment Update
 Aggressive measures must be taken to lower LDL to below 70.

Navigation

☒ Lipids ☐ General

Home
 Lipids System Review
 Extremity Exam
 Eye Exam
 Cardio Exam
 Lifestyle Changes
 Lipids Plan

Lipoprotein Metabolism

Summary of Lipid Studies
 Lipoproteins
 Significance
 Composition
 Classification
 Hyperlipoproteinemias
 Hypolipoproteinemias
 VLDLs
 IDLs
 HDLs
 LDL Receptors
 Chylomicrons

When the button entitled **Framingham Risk Scores** is depressed, without any other action on the provider's part, all twelve calculations are made. All data points are aggregated electronically for this purpose.

The following is the template which appears when the button entitled **Framingham Risk Scores** is depressed. It will be noted that the titles of each of the risk calculators is in blue. This means that each is a hyperlink and when depressed the elements on the basis of which the score is calculated is displayed. This function is a useful teaching tool, as it possible to review these elements with a patient when any score is being discussed. This will be a useful means of alerting patients to the changes they need to make in their lifestyles or treatment regimens in order to moderate their risk. The date that the Framingham Risk Scores were calculated and reviewed is noted at the top of the template.

You will note that with the General Cardiovascular Risk (10 Years) there is a calculation of the patient's "relative heart age" and a display of their age. While this calculation is not absolute, it gives SETMA's patients an indication of their heart health. The good news is that this calculation can be improved with changes in lifestyle and with appropriate and aggressive treatment.

Framingham Heart Study Risk Calculators

Last Updated/Reviewed 04/16/2010

[Return](#)

[General Cardiovascular Disease, 10-Year Risk](#)

Total Points 23

Total Risk <30 %

Relative Heart Age 48 years

Real Heart Age 108 years

[Global Cardiovascular Risk Score](#)

Total Points 8.1

A score above 4 indicates increased risk of a cardiovascular event.

[Coronary Heart Disease, 10-Year Risk](#)

Total Points 17

Total Risk >27 %

[Coronary Heart Disease, 2-Year Risk](#)

Total Points 8

Total Risk 0 %

[Stroke, 10-Year Risk](#)

Total Points 18

Total Risk 27 %

[Atrial Fibrillation, 10-Year Risk](#)

Total Points 0

Total Risk --- %

[Stroke After Atrial Fibrillation](#)

Total Points 21

Total Risk 34 %

[Stroke or Death After Atrial Fibrillation](#)

Total Points 9

Total Risk 43 %

[Congestive Heart Failure](#)

Total Points 7

Total Risk 2 %

[Hard Coronary Artery Disease, 10-Year Risk](#)

Total Points 20

Total Risk 11 %

[Recurring Coronary Heart Disease](#)

Total Points 22

Total Risk 5 %

[Intermittent Claudication](#)

Total Points 14

Total Risk 2 %

In the following materials, an introductory statement for each of the calculations is taken from the Framingham official website at www.framinghamheartstudy.org. The Framingham statement is followed by SETMA's template which is displayed for each calculator when the hyperlinks above are launched.

The introductory material includes:

- Title of risk calculator
- Source from which it is taken
- Outcome which is the end-point for the risk calculation
- Duration of follow-up
- Population of interest
- Predictors

General Cardiovascular Disease (10-Year Risk)

(D'Agostino, Vasan, Pencina, Wolf, Cobain, Massaro, Kannel. 'A General Cardiovascular Risk Profile for Use in Primary Care: The Framingham Heart Study', *Circulation* 2008)

Outcome -- CVD (coronary death, myocardial infarction, coronary insufficiency, angina, ischemic stroke, hemorrhagic stroke, transient ischemic attack, peripheral artery disease, heart failure)

Duration of follow-up -- Maximum of 12 years, 10-year risk prediction

Population of interest -- Individuals 30 to 74 years old and without CVD at the baseline examination

Predictors -- Age, Diabetes, Smoking, Treated and untreated Systolic Blood Pressure, Total cholesterol, HDL cholesterol, BMI replacing lipids in a simpler model.

The screenshot shows a software window titled "Framingham GCD10" with a close button. The main heading is "Framingham Heart Study General Cardiovascular Disease, 10-Year Risk". Below this is a table with three columns: Predictors, Values, and Points. The predictors and their corresponding values and points are: Age, Sex (108, F, 12), Systolic Blood Pressure (155, 4), Hypertension Treatment (No, 0), Cholesterol (212, 3), HDL (36, 1), Diabetes (Yes, 4), and Smoker (Yes, 3). The total points are 27, and the total risk is calculated as <30%. Below the table, the "Relative Heart Age" is calculated as 51 years based on the risk score. At the bottom are "OK" and "Cancel" buttons.

Predictors	Values	Points
Age, Sex	108 F	12
Systolic Blood Pressure	155	4
Hypertension Treatment	No	0
Cholesterol	212	3
HDL	36	1
Diabetes	Yes	4
Smoker	Yes	3
Total Points		27
Total Risk		<30 %

Relative Heart Age
Based on this risk score, your "relative heart age" is 51 years.

OK Cancel

Global Cardiovascular Risk Score

This is the only risk calculator which was not published by the Framingham Heart Study. It is a modification of the risk calculator based on the Framingham data but with the elimination of age and gender. The rationale for this was that the weight of age in the Framingham study was so great that it underestimated the cardiovascular risk in the young and overestimated it in the elderly.

The Global Cardiovascular Risk Score eliminates the age and gender bias and gives a more accurate estimate of the relative risk of all groups without regard to age.

The following is the pop-up which appears when the Global Cardiovascular Risk hyperlink is launched.

The screenshot shows a window titled "Cardio Globalrisk" with a close button. The main heading is "Global Cardiovascular Risk Score" in blue. Below it, a date field shows "04/16/2010" with the label "Last Updated/Reviewed". Instructions state: "Enter each of the five parameters below and click 'Calculate.'" and "You may click 'Import' to pull the values in from the physical exam." The input fields are: Cholesterol (212.0), HDL (36.0), HgbA1C (8.2), Systolic BP (155), and Packs Per Day (1). An "Import >>" button is next to the HgbA1C field. A "Calculate >>" button is below the inputs, followed by a red box displaying "10.6" and the text "points". A warning message reads: "A Global Cardiovascular Risk Score below 4 is desirable. Above 4, the patient is at increased risk of a cardiovascular event." The "Complete Formula" section shows the calculation:
$$\frac{\text{Cholesterol}}{\text{HDL}} + (\text{HgbA1C} - 7.0) + \frac{\text{Systolic BP} - 130}{10} + \text{Packs Per Day}$$
 At the bottom are "OK" and "Cancel" buttons.

Parameter	Value
Cholesterol	212.0
HDL	36.0
HgbA1C	8.2
Systolic BP	155
Packs Per Day	1

Calculate >> **10.6** points

A Global Cardiovascular Risk Score below 4 is desirable. Above 4, the patient is at increased risk of a cardiovascular event.

Complete Formula

$$\frac{\text{Cholesterol}}{\text{HDL}} + (\text{HgbA1C} - 7.0) + \frac{\text{Systolic BP} - 130}{10} + \text{Packs Per Day}$$

OK **Cancel**

Coronary Heart Disease 10-Year Risk

(based on Wilson, D'Agostino, Levy et al. 'Prediction of Coronary Heart Disease using Risk Factor Categories', *Circulation* 1998)

Outcome -- Coronary Heart Disease

Duration of follow-up -- Maximum of 12 years, 10-year risk prediction

Population of interest -- Individuals 30 to 74 years old and without overt CHD at the baseline examination

Predictors -- Age, Diabetes, Smoking, JNC-V blood pressure categories, NCEP total cholesterol categories, LDL cholesterol categories,

The image shows a screenshot of a software window titled "Framingham CHD10". Inside the window, the title "Framingham Heart Study" is followed by "Coronary Heart Disease, 10-Year Risk". Below this, there is a table with three columns: "Predictors", "Values", and "Points". The table contains the following data:

Predictors	Values	Points
Age, Sex	108 F	8
Blood Pressure	155 / 95	2
Cholesterol	212	1
HDL	36	2
Diabetes	Yes	4
Smoker	Yes	2
Total Points		19
Total Risk		>27 %

Below the table, there is a section titled "Comparative Risk". It contains the following text:

Patients in your age range, 70-74, who were considered to be at...

"low risk" had a total risk of 8 %.

"average risk" had a total risk of 14 %.

At the bottom of the window, there are two buttons: "OK" and "Cancel".

Coronary Heart Disease (2-Year Risk)

(D'Agostino, Russell MW, Huse DM et al. 'Primary and subsequent coronary risk appraisal: new results from the Framingham Study', *American Heart Journal* 2000)

Outcome -- First Coronary Heart Disease

Duration of follow-up -- Maximum of 4 years, 2-year risk prediction score sheets

Population of interest -- Individuals free of all of the following CVDs before examination; CHD (includes myocardial infarction, coronary insufficiency, and angina pectoris); stroke (ischemic or hemorrhagic); transient ischemic attack; congestive heart failure; intermittent claudication

Predictors -- Age, Systolic blood pressure (SBP), Cigarette smoking status (1 if current smoker, 0 otherwise), Fasting lipid level (totals and HDL Cholesterol), Physician diagnosis of diabetes at the current or a previous examination, Use of antihypertensive medication (yes/no),

The screenshot shows a software window titled "Framingham CHD2". Inside, the title "Framingham Heart Study" is followed by "Coronary Heart Disease, 2-Year Risk". Below this is a table with three columns: "Predictors", "Values", and "Points". The table contains input fields for various factors: Age, Sex (108, F, 0 points); Menopausal status (No, 0 points); Systolic Blood Pressure (155, 5 points); Blood Pressure Treatment (No, 0 points); Cholesterol, HDL (212, 36, 3 points); Diabetes (Yes, 3 points); Smoker (Yes, 2 points); and Alcohol consumption (240 oz/week, 0 points). At the bottom right, "Total Points" is 13 and "Total Risk" is 0%. "OK" and "Cancel" buttons are at the bottom center.

Predictors	Values	Points
Age, Sex	108 F	0
Menopausal (females only) Menopause factored into the age, sex calculation.	No	
Systolic Blood Pressure	155	5
Blood Pressure Treatment	No	
Cholesterol, HDL	212, 36	3
Diabetes	Yes	3
Smoker	Yes	2
Alcohol (females only)	240 oz/week	0
Total Points		13
Total Risk		0 %

Stroke

(D'Agostino, Wolf, Belanger, Kannel 'Stroke Risk Profile: Adjustment for Antihypertensive Medication', *Stroke* 1994)

Outcome -- Stroke

Duration of follow-up -- 10 years

Population of interest -- Individuals aged 55 to 84 years, free of stroke at baseline

Predictors -- Age, Systolic blood pressure, Diabetes mellitus, Cigarette smoking, Prior cardiovascular disease, Atrial fibrillation, Left ventricular hypertrophy, Use of hypertensive medication

The screenshot shows a web-based calculator titled "Framingham Stroke Risk Calculator". It features a table for inputting predictor values and their corresponding points. The predictors include Age, Sex, Systolic Blood Pressure, History of Diabetes, Smoker status, and a detailed section for History of Cardiovascular Disease (Myocardial Infarction, Angina, CHF, Intermittent Claudication, Atrial Fibrillation, and LVH). The total points calculated are 23, which corresponds to a total risk of 57%.

Predictors	Values	Points
Age, Sex	108 F	10
Systolic Blood Pressure, Treatment	155 No	5
History of Diabetes	Yes	3
Smoker	Yes	3
History of Cardiovascular Disease		
Myocardial Infarction	No	2
Angina	Yes	
CHF	No	
Intermittent Claudication	No	
History of Atrial Fibrillation	No	0
History of LVH	No	0
Total Points		23
Total Risk		57 %

OK Cancel

All of the calculators can be reviewed on our website.

In order to improve your health, ask your healthcare provider to give you your risk scores for each of the twelve Framingham Heart Risk Calculators. Use these to guide yourself in improving your health. Remember, don't boil like a frog; jump out of the water before your health is overwhelmed.